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PTO/SB/21 (01-03) Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paparwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/054,462 TRANSMITTAL Filing Date 01/22/2002 **FORM** First Named Inventor Michelangelo Scalone Art Unit (to be used for all correspondence after initial filing) 1626 **Examiner Name** TECH CENTER 1600/2900 R. Anderson **Attorney Docket Number** 20826 US **Total Number of Pages in This Submission ENCLOSURES** (Check all that apply) After Allowance Communication Fee Transmittal Form Drawing(s) to Group Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to Group • Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final **Proprietary Information** Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer **Extension of Time Request** Identify below): Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Remarks **Certified Copy of Priority** Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT John P. Parise Firm Individual Signature Date June 27, 2003 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this cerrespondence is being transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313 on this date: June 27, 2003 Typed or printed John R. Parise

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

June 27, 2003

Date I

Signature

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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE RECEIVED

JUL 0 2 2003

In re Patent Application

TECH CENTER 1600/2900

Inventors: Michelangelo Scalone and Thomas Albert Zeibig Group: 1626

Serial No. 10/054,462, filed January 22, 2002 Examiner: R. Anderson

For: Process for Preparing Heterocyclic Indene Analogs

COMMUNICATION

Nutley, New Jersey 07110 June 27, 2003

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This Communication is filed in response to the March 28, 2003 Office Action issued in connection with the above-identified patent application. A response to this Office Action was originally due April 28, 2003. A two-month extension of time is being requested concurrently. Accordingly, a response to this Office Action in now due June 28, 2003.

In the Office Action restriction was required under 35 U.S.C. § 121. Specifically, there are allegedly three independent and distinct groups of inventions. Group I includes claims 1-31, a process for making the compound of formula I. Group II includes claim 32, a process for making 1-(9H-carbazol-4-yloxy)-3-[[2-(2-methoxyphenoxy)ethyl[]amino]-2-propanol. Group III includes the compound of formula IIa.

Communication

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In response to this restriction requirement, applicants elect, with traverse, to prosecute at this time the invention of Group I, claims 1-31. Applicants traverse this restriction since it would not be a serious burden on the Examiner to search all of the claims at this time. In particular, the process of Group I embraces the first step in the process of Group II. Thus, if the claims of Group I are patentable, then the claim of Group II must be patentable. Moreover, Group I and Group II are directed to processes that are patentable in and of themselves. Applicants are not relying upon the patentablity of the compound produced in order to achieve patentablity of the claimed processes.

The Office Action also mandated that applicants elect a single species. In response to this election requirement, applicants elect the process wherein the compound of formula I is where R¹ and R² are hydrogen and X is NH.

In summary, applicants request reconsideration and withdrawal of the restriction requirement.

If a telephone conference would be of assistance in furthering prosecution, applicants request that the undersigned attorney be contacted at the number below.

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No fee, other than the fee for a two-month extension of time, is required in connection with the filing of this Communication. If any fees are deemed necessary, authorization is given to charge the amount of any such fee to Deposit Account No. 08-2525.

Respectfully submitted,

Attorney for Applicant(s)
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